NAMIC PROCESS: THE CASE OF MODERN MEDICAL PRACTICE SOCIAL STRUCTURE AND DY-

analysis we have developed if, in addition to the illustrative material the reader to appreciate the empirical relevance of the abstract sis of the central place of patterns of value-orientation in this struc-ture, in the analysis of the motivational mechanisms of social attempt to bring together many if not most of the threads of the which has been introduced bearing on many particular points, we of the social system from the action frame of reference, in the analyfeatures of an important sub-system of modern Western society. foregoing discussion in a more extensive analysis of some strategic process, and that of the involvement of cultural patterns other than working through the derivation of the major structural outlines WE HAVE followed a long and complicated course in

field has been a subject of long-standing interest? on the author's for this purpose we have chosen modern medical practice. This

Journal of Medicine, Vol. 212, May 2, 1935, 819-23.
The most important phase of this interest was concerned with a field study 1 For general comparison with this chapter the reader may be referred to

considerably modified by subsequent theoretical development and by other exformulation of some of the most important of the results in the context of their ago. A variety of circumstances prevented the completion of that study and its publication in the intended form. Hence the opportunity has been taken for the of medical practice which was carried out mainly in the Boston area several years

> system deal of light on the general motivational balance of the social cations reaching far beyond the particular field to throw a great adequate analysis of the motivational processes involved has impliprocess on the problems of deviance and social control is such that already been brought out briefly, the bearing of the therapeutic terns and value-orientations in our own society. Finally, as has cultural tradition. This institutionalization fits into the functional health, and is specially organized relative to distinctive role parcontext of a ubiquitous practical problem in all societies, that of important problems of the modes of institutionalization of such a ties of the medical profession. We have already seen that there are tain parts of modern science, provides a central focus for the activiin more abstract terms. A highly distinctive cultural tradition, cerexcellent opportunity to illustrate some of the interrelations of the principal elements of the social system which have been reviewed material in this field than in most others. But it also provides an part as a result of which he has a greater command of the empirical

THE FUNCTIONAL SETTING OF MEDICAL PRACTICE AND THE CULTURAL TRADITION

controlling the conditions which produce illness. For our purposes, attempting to restore them to health or normality. Recently there with cases which have already developed a pathological state, and emphasis has been on "treatment" or "therapy," that is, on dealing has been increasing emphasis on "preventive medicine," that is individual, with "illness" or "sickness." Traditionally the principal to be oriented to coping with disturbances to the "health" of the IN THE most general terms medical practice may be said

perience, notably training in psychoanalysis. It is, however, of considerable interest that it was in connection with the earlier study of medical practice that

the beginnings of the pattern variable scheme were first worked out.

There has been fragmentary previous publication of results in three places, the papers "The Professions and Social Structure" and "Propaganda and Social Control," Essays, Chapters VIII and XIII, and "Education and the Professions,"

Ethics, Vol. 47, 305-309.

The original study was assisted financially by a grant from the Harvard Committee on Research in the Social Sciences. This assistance is hereby gratefully

vever, the therapeutic functional context will present sufficient

by social action, an independently given condition of social life. But in so far as it is controllable, through rational action or otherto the society, through pregnancy, child care, socialization, formal training and many other channels. Premature death, before the of points of view, the birth and rearing of a child constitute a "cost" of this, attention may be called to premature death. From a variety control, broadly in the minimization of illness. As one special aspect wise, it is clear that there is a functional interest of the society in its could of course be that this incidence was completely uncontrollable social system as defined above. Certainly by almost any definition health is intimately involved in the functional prerequisites of the Illness incapacitates for the effective performance of social roles. It dence of illness, is dysfunctional. This is in the first instance because of the society so that from the point of view of functioning of the social roles, means that only a partial "return" for this cost has been individual has had the opportunity to play out his full quota of social system, too low a general level of health, too high an incihealth is included in the functional needs of the individual member A little reflection will show immediately that the problem of

which had to be dealt with and conditions which might or might which merely "happened to" people, which involved consequences tions of human beings. In this case illness would be something behavior. not be controllable but was in no way an expression of motivated to our knowledge, reciprocally involved in the motivated interacnon" in the sense that, like the vagaries of the weather, it was not, All-this would be true were illness purely a "natural phenome

simplest kind of case, differential exposure, to injuries or to infec-tion, is certainly motivated, and the role of unconscious wishes to of ways motivational factors accessible to analysis in action terms to therapeutic influence through motivational channels. To take the though without exact correspondence, many conditions are open are involved in the etiology of many illnesses, and conversely, it has become increasingly clear, by no means for all. In a variety This is in fact the case for a very important part of illness, but

> conventionally been defined as the sphere of medical interests. range of social action in areas which extend well beyond what has this conceptual scheme will prove applicable to a great deal of the under a single conceptual scheme, it can be regarded as certain that effective therapy possible. This is certainly not the predominant medit will not be the conceptual scheme of the biological science of the ical view today. If it ever becomes possible to remove the hyphen from the term "psycho-somatic" and subsume all of "medical science" to be found on that level, and that only through such channels was whole there can be no doubt of the relevance of illness to the funclate nineteenth and early twentieth centuries. It is also certain that logical and biological level in both the sense that etiology was always medical opinion inclined to the "reduction" of all illness to a physioor smaller part in any or all of them, in some like syphilitic paresis states which are not motivationally determined may play a larger ment in the motivated processes of interaction. At one time most tional needs of the social system, in the further sense of its involvewhich occur mainly on the behavioral level. Of course somatic which knowledge has been rapidly accumulating in recent years. Then there is the whole range of "psycho-somatic" illness about be injured or to fall ill in such cases has been clearly demonstrated. Finally, there is the field of "mental disease," the symptoms of

possible positive functional significance. ing social responsibilities. But it may also, as will appear, have some sponse to social pressures, among other things, as one way of evad social equilibrium itself, Illness may be treated as one mode of re-"external" danger to be "warded off" but an integral part of the motivated purely situational aspect of social action greatly increases its significance for the social system. It becomes not merely an The fact that the relevance of illness is not confined to the non-

conditions of successful therapy, as well as to other things potentially relevant to the state of illness, to its etiology and to the partly socially defined. Participation in the social system is always personal and social adjustments. It is thus partly biologically and ing both the state of the organism as a biological system and of his in the "normal" functioning of the total human individual, includ-Summing up, we may say that illness is a state of disturbance

Niedical practice as above defined is a "mechanism" in the social for coping with the illnesses of its members. It involves a set of institutionalized roles which will be analyzed later. But this also involves a specialized relation to certain aspects of the general cultural tradition of modern society. Modern medical practice is organized about the application of scientific knowledge to the problems of illness and health, to the control of "disease." Science is of course a very special type of cultural phenomenon and a really highly developed scientific level in any field is rare among known cultures, with the modern West in a completely unique position. It may also be noted that scientific advance beyond the level to which the Greeks brought it is, in the medical field, a recent phenomenon, as a broad cultural stream not much more than a century old.

We have dealt at some length in Chapter VIII with science as a general feature of the cultural tradition, and with some of the conditions of its application to practical affairs. This need not be repeated here. We need only note a few points particularly relevant to the medical field. First, it should be quite clear that the treatment of illness as a problem for applied science must be considered problematical and not taken for granted as "common sense." The comparative evidence is overwhelming that illness, even a very large part of what to us is obviously somatic illness, has been interpreted in supernatural terms, and magical treatment has been considered to be the appropriate method of coping with it. In non-literate societies there is an element of empirical lore which may be regurded as proto-scientific, with respect to the treatment of fractures for instance. But the prominence of magic in this field is overwhelmingly great.

This, however, is by no means confined to non-literate cultures. The examples of traditional Clina and our own Middle Ages will suffice. Vehere other features of the cultural tradition are not favorable to the traditionalized stereotyping which we think of as characteristic of magic in the full sense, we find a great deal, and sometimes predominance, of health "superstition in the sense of pseudo rational or pseudo scientific beliefs and practices.

In the light of these considerations it is not surprising that in a society in which scientific medicine has come to be highly institutionalized, popular orientations toward the health problem are by

no means confined to the scientific level. There is much popular health superstition, as evidenced by such things as the "patent medicines," for example the widely advertised "Dr. Pierce's Golden Medical Discovery," and many traditional "home remedies." Furthermore in the health field there is a considerable fringe of what are sometimes called "cults." Some religious denominations, of which Christian Science is perhaps the most conspicuous example, include a religious approach to health as an integral part of their general doctrine. Then there is a variety of groups which offer health treatments outside the medical profession and the professions auxiliary to it like dentistry and nursing. These are apt to include complex and bewildering mixtures of scientifically verifiable elements and various grades and varieties of pseudo-science.

Finally the institutionalization of science is, as the analysis of Chapter VIII would lead us to expect, far from complete within the profession itself. There are many kinds of evidence of this, but for present purposes it is sufficient to cite the strong, often bitter resistance from within the profession itself to the acceptance of what have turned out to be critically important scientific advances in their own field. One of the classic cases is the opposition of the French Academy of Medicine to Pasteur, and for some time the complete failure to appreciate the importance of his discoveries. A closely related one is the opposition of the majority of the surgeons of the day to Lister's introduction of surgical asepsis. The conception of "laudable pus" is an excellent example of a medical "superstition."

It goes without saying that there is also an important involvement of expressive symbolism in medical practice. Rather, however, than attempting to review it at this point it will be better to call attention to certain aspects of it as we go along.

§ THE SOCIAL STRUCTURE

THE immediately relevant social structures consist in the patterning of the role of the medical practitioner himself and,

⁸ An excellent and very detailed analysis of one of these border-line groups is given in the study by Wafter I. Wardwell, Social Strain and Social Adjustment in the Marginal Role of the Chiropractor, unpublished Ph.D. dissertation, Harvard University, 1951.

though to common sense it may seem superfluous to analyze it, that of the "sick person" himself. There is also a range of important of the social system which will have to be mentioned at the appro impingements of both roles on other aspects of the total structure

context of its performance are to a high degree segregated from other bases of social status and solidarities. In common with the should be achieved and that performance criteria by standards of which is given a high degree of primacy relative to other status-determinants. It is thus inevitable both that incumbency of the role technical competence should be prominent. Selection for it and the it is institutionalized about the technical content of the function course, is by no means true of all societies. As an occupational role other roles-though for example mothers do a good deal of it-but it is therefore in addition to its incorporation of achievement values, has become functionally specialized as a full-time "job." This, of of "professional" roles, a sub-class of the larger group of occupaself-oriented. the role of the businessman, however, it is collectivity oriented not universalistic, functionally specific, and affectively neutral. Unlike predominant patterns of occupational roles generally in our society tional roles. Caring for the sick is thus not an incidental activity of The role of the medical practitioner belongs to the general class

and the requirements of high technical competence, as well as universalism and competence. In addition, of course, there is presstutus. There is an intrinsic connection between achieved statuses responsibility for the health of her children is ascribed to the mother-The role of physician is far along the continuum of increasingly high levels of technical competence required for performance. Because of the complexity and subtlety of the knowledge and skill The importance of this patterning is, in one context, strongly emphasized by its relation to the cultural tradition. One basis for the division of labor is the specialization of technical competence. required and the consequent length and intensity of training, it is difficult to see how the functions could, under modern conditions, be ascribed to people occupying a prior status as one of their activi-

The Social Structure

character in the total occupational system. sure in the society to assimilate the medical role to others of similar

divisions of specialization within the profession. foreign policy or tax legislation than any other comparably intellisphere of his technical training and experience. For example one specialist whose superiority to his fellows is confined to the specific cian is not, by virtue of his modern role, a generalized "wise man" or sage—though there is considerable folklore to that effect—but a gent and well-educated citizen. There are of course elaborate subdisease precludes comparable expertness in other fields. The physidoes not expect the physician as such to have better judgment about Such intensive devotion to expertness in matters of health and High technical competence also implies specificity of function.

problems of how to handle a particular disease. supposed to be irrelevant, as indeed it is to most purely objective whether he likes or dislikes the particular patient as a person is problem in objective, scientifically justifiable terms. For example applied scientist. The physician is expected to treat an objective Affective neutrality is also involved in the physician's role as an

than in any single one except perhaps the clergy. professions, but it is perhaps more pronounced in the medical case medical world. This attitude is, of course, shared with the other contend. The line, therefore, is drawn primarily vis-à-vis "business." ism" as the most serious and insidious evil with which it has to the patient" above his personal interests, and regards "commercial-The "profit motive" is supposed to be drastically excluded from the emphasis on the obligation of the physician to put the "welfare of pational system, is the "minority" group, strongly insisting on collectivity-orientation. The "ideology" of the profession lays great tation, the physician's role clearly belongs to what, in our occu-With regard to the pattern variable, self vs. collectivity-orien-

for sick people in a technical sense, but must take responsibility for the other the one who works within the context of organization. between two types of physicians. One of the "private practitioner," The important thing about the former is that he must not only care the total instrumental complex there is an important distinction In terms of the relation of the physician's occupational role to

これでは、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、これのことには、

good social policy to have the costs of medical care, the means of dependence on them for payment for his services, and must to a settlement of the terms of exchange with them because of his direct gives them as physicians a technical competence in these matters policy cannot be justified on the ground that their special training profession, as individuals or through organizations, but such a payment for it and so on settled by the members of the medical the sick does not imply any special competence one way or another tion. It is a crucially important fact that expertness in caring for high degree also provide his own facilities for carrying on his funcwhich others do not have. in the settlement of terms of exchange. It may or may not be a

An increasing proportion of medical practice is now taking place in the context of organization. To a large extent this is necessitated by the technological development of medicine itself, above not in the usual sense engaged in the "practice of medicine" in performing his functions any more than the president of the Miners' relation of the physician to the rest of the instrumental complex. cians as well as of auxiliary personnel. This greatly alters the all the need for technical facilities beyond the reach of the indisarily of freedom, in relation to his patients other than in his techinvolves the complex cooperation of several different kinds of physividual practitioner, and the fact that treating the same case often nical role. Even if a hospital executive is a physician himself he is He tends to be relieved of much responsibility and hence neces-Union is engaged in mining coal.

whether "being sick" constitutes a social role at all—isn't it simply a state of fact, a "condition"? Things are not quite so simple as this. The test is the existence of a set of institutionalized expectations As was noted, for common sense there may be some question of

serves as a court of appeal as well as a direct legitimatizing agent. I tion by and to the various alters involved and the physician often nature and severity of the illness. This exemption requires legitima and the corresponding sentiments and sanctions.

There seem to be four aspects of the institutionalized expectais noteworthy that like all institutionalized patterns the legitimation normal social role responsibilties, which of course is relative to the tion system relative to the sick role. First, is the exemption from

> moral connotation. It goes almost without saying that this legitimation has the social function of protection against "malingering." tell them that they ought to stay in bed. The word generally has a ant to admitting they are sick and it is not uncommon for others to the sick person but an obligation upon him. People are often resistof being sick enough to avoid obligations can not only be a right of

acceptance of "help." tion of the state of illness is obviously crucial as a bridge to the while the illness lasts he can't "help it." This element in the defini-"attitude." Of course the process of recovery may be spontaneous but "be taken care of." His "condition" must be changed, not merely his together" to get well by an act of decision or will. In this sense also tion that the sick person cannot be expected by "pulling himself he is exempted from responsibility-he is in a condition that must The second closely related aspect is the institutionalized defini-

of as expeditiously as possible. a highly important sense. It is a relative legitimation so long as he is two elements of legitimation of the sick role thus are conditional in in this unfortunate state which both he and alter hope he can get out itself undesirable with its obligation to want to "get well." The first he third element is the definition of the state of being ill as

becomes articulated with that of the physician in a complementary well. It is here, of course, that the role of the sick person as patient physician and to cooperate with him in the process of trying to get cally competent help, namely, in the most usual case, that of a proportion to the severity of the condition, of course-to seek techni-Finally, the fourth closely related element is the obligation-in

of great functional significance to the social system are institutionally cover, becomes of first importance. In general motivational balances retain. The problem, therefore, of the balance of motivations to rethe sick role may become objects of a "secondary gain" which the patient is positively motivated, usually unconsciously, to secure or to taining facts and drawing lines. The privileges and exemptions of problem of social control becomes much more than one of ascertance of the institutionalized role aspect of being sick. For then the in illness immensely broadens the scope and increases the impor-It is evident from the above that the role of motivational factors

exception. controlled, and it should, therefore, not be surprising that this is no

A few further points may be made about the specific patterning of the sick role and its relation to social structure. It is, in the first achieved" role, through failure to "keep well," though, of course, place, a "contingent" role into which anyone, regardless of his status temporary. One may say that it is in a certain sense a "negatively motivations to deviance. positive motivations also operate, which by that very token must be in other respects, may come. It is, furthermore, in the type case

It is also functionally specific, confined to the sphere of health, and particular "complaints" and disabilities within that sphere. It is what kind of sickness; its focus is thus classificatory not relational. criteria determine whether one is or is not sick, how sick, and with not on the cathectic significance of persons," or orientations to an emotionally disturbing problem, though this may be instrumentally behavior, "trying to get well," is focused on an objective problem furthermore affectively neutral in orientation in that the expected It is inherently universalistic, in that generalized objective

obvious self-interest in getting well in most cases, though this point may not always be so simple. But once he has called in a physician the attitude is clearly marked, that he has assumed the obligation to and otherwise involved. cooperate with that physician in what is regarded as a common task. fined as collectively oriented. It is true that the patient has a very one physician against that of another without telling physician A attitudes of the profession toward what is called "shopping around." By that is meant the practice of a patient "checking" the advice of of the patient is the latter's obligation to "do his part" to the best The obverse of the physician's obligation to be guided by the welfare of his ability. This point is clearly brought out, for example, in the that he intends to consult physician B, or if he comes back to A that he has done so or who B is. The medical view is that if the patient is *The orientation of the sick role vis-à-vis the physician is also de-

not satisfied with the advice his physician gives him he may properly The Situation of Medical Practice

others he is consulting, to say nothing of approaching the Chevrolet dealer only through the Ford dealer. and there is no obligation for him to inform any one dealer what customer going to a number of dealers before making up his mind, mercial relationship. If he is buying a car there is no objection to the which is in sharp contrast to perfectly legitimate behavior in a comdependently, and above all not without A's knowledge. The other A not the patient who must call B in, the patient may not see B ining the physician he wishes called in, but in that case it is physician do one of two things, first he may request a consultation, even nampart not only of the physician, but also of the patient, is expected patient." The notable fact here is that a pattern of behavior on the proper recourse is to terminate the relation with A and become "B's

sub-system of social relations will be brought out in the following defined as legitimate in a relative sense but as needing help. The intricacy of the social forces operating on this superficially simple status, is qualified to "help" the patient in a situation institutionally special training and experience, and by an institutionally validated doesn't-nor do his lay associates, family members, etc.-"know" elements. The patient has a need for technical services because he necessary facilities. The physician is a technical expert who by what is the matter or what to do about it, nor does he control the The doctor-patient relationship is thus focused on these pattern

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The Situation of the Patient

tions which are important to the social system. cation of his technical knowledge, operate to facilitate his manifest mechanisms which, in addition to the physician's deliberate applican be interpreted, and will enable us to identify a series of tance of the broad patterning of both physician's and patient's role find themselves. This will provide the setting in which the imporrelevant aspects of the situation in which the doctor and the patient functions in the control of disease, and to promote other, latent func-THE first step is to go more in detail into the analysis of

It is not to be interpreted either that the cathectic significance of persons has no part in the etiology of illness or that cathexis of the physician as an object does not occur-but it is controlled . This it will appear later is particularly important to the therapeutic process.

one. There is also a range of different types of physician. It will abstraction is inevitable in any such general account as the present different types of illness, and of degrees of severity. Hence a certain certain strategic and typical features of the situation of both. therefore, be necessary to concentrate on what can be considered First, it must be remembered that there is an enormous range of

of helplessness and need of help, technical incompetence, and emosituation of the patient and his "lay" associates, particularly members of his family. These may be classified under the three headings It will be convenient first to take up the salient features of the

tional involvement.

noted distinguished from other deviant roles precisely by the fact "deviant" as certainly in important respects it must, it is as we have that the sick person is not regarded as "responsible" for his condition, self to danger of accident, but then once injured he cannot, for "he can't help it." He may, of course, have carelessly exposed himless and therefore in need of help. If being sick is to be regarded as "try" has importance at many peripheral points in the handling of illness, but the core definition is that of a "condition" that either instance, mend a fractured leg by "will power." The exhortation to has to "right itself" or to be "acted upon," and usually the patient got "not his fault." into that condition through processes which are socially defined as By institutional definition of the sick role the sick person is help-

and duration. But a sufficient proportion of cases is severe in one or defined in the culture, of spontaneous recovery in terms of certainty manent disablement. It will also vary inversely with the prospect, as the disability, suffering, and risk of death or serious, lengthy or persoon enough, so that the feeling of helplessness and the need of help more of these senses, and unlikely to recover spontaneously, at least The urgency of the need of help will vary with the severity of

erally both. He is also likely to be anxious about his state and the future. This is a very different kind of "need" from that of a person cannot be expected to extricate himself by his own effort, or gendisabled or both, and possibly facing risks of worsening, which is socially defined as either "not his fault" or something from which he The sick person is, therefore, in a state where he is suffering or

> titled" to help. not being lazy or shiftless. In a special sense, the sick person is "enexpected to procure it by his own efforts, as by working for it, and "needs something," such as adequate food, if he can reasonably be who merely "wants" something that he can be permitted to have if he can "swing" it independently, such as a new car, or even if he

competent help. The nature of this help imposes a further disability or handicap upon him. He is not only generally not in a position to nition of the kind of help he needs, namely, professional, technically trivial illness, not adequate. But in our culture there is a special deficourse competent to help himself, or what he can do is, except for would, even if well, not be qualified to do what is needed, and to not go down to the drug store to get what is needed, but that he done or how to do it. It is not merely that he, being bedridden, cando what needs to be done, but he does not "know" what needs to be judge what needs to be done. There is, that is to say, a "communi-By the same institutional definition the sick person is not, of

case, indeed often do. In general the layman is not qualified to choose between them. Nor is he qualified to choose the "best" one of the most serious disabilities of the layman is that he is not qualified or on institutional validation. physician among a panel. If he were fully rational he would have to qualified to judge technical qualifications, in general or in detail. rely on professional authority, on the advice of the professionally I wo physicians may very well give conflicting diagnoses of the same Only a technically trained person has that qualification. And

limited and that most laymen think they know more, and have something in the field, and have some objective bases of judgment. sician. A very large proportion of people choose their physicians on rect. Another type of evidence is the patterning of choice of phyimpossible for the majority of such judgments to be objectively coror one of the few best in his field in the community. It is manifestly great majority of laymen think that their physician is either the best better bases of judgment than is actually the case. For example the But the evidence is overwhelming that this knowledge is highly the basis of the recommendations of friends or neighbors who "like This disqualification is, of course, not absolute. Laymen do know

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Dr. X so much," without any sort of inquiry beyond that as to technical qualifications, even as to the medical school from which he holds a degree or the hospital at which he interned. There must be some mechanisms to bridge this "gap." There must be some way of defining the situation to the patient and his family, as to what is "the matter with him" and why, what his prognosis is, what burdens will have to be assumed in recovery. There must be some mechanism for validating the "authority" of the physician, who only in special cases like the military services has any coercive sanctions at his com-

worse before you can get better" as for instance in the case of a major disablement, severe financial costs and various others. In terms of surgical operation. They include risk of death, permanent or lengthy are often very severe. They include suffering-you "have to get physician asks his patients and their families to assume on his advice cannot, in the majority of severe and complex cases, i.e., the "stratetion, implicit or explicit, "How do I know this will do any good?" measures which may prove necessary. But there is always the quesinterest in getting well and hence should be ready to accept any common sense it can always be said that the patient has the obvious gree, but it is a crucially important difference of degree. difference from the physician in this respect is often a matter of dethe factors involved and a fully rational weighing of them. The The one thing certain seems to be that the layman's answer to this gic" ones, be based primarily on his own rational understanding of In this connection it should be noted that the burdens the

Finally, third, the situation of illness very generally presents the patient and those close to him with complex problems of emotional adjustment. It is, that is to say, a situation of strain. Even if there is no question of a "physic" factor in his condition, suffering, help-lessness, disablement and the risk of death, or sometimes its certainty, constitute fundamental disturbances of the expectations by which men live. They cannot in general be emotionally "accepted" without the accompaniments of strain with which we are familiat and hence without difficult adjustments unless the patient happens

One physician, a suburban general practitioner, told that in several years of practice only one patient had asked him from what medical school he had graduated.

In this connection perhaps a few words may be said about the

to find positive satisfactions in them, in which case there is also a social problem. The significance of this emotional factor is magnified and complicated in so far as defensive and adjustive mechanisms are deeply involved in the pathological condition itself.

The range of possible complexities in this sphere is very great. The problems are, however, structured by the nature of the situation in certain relatively definite ways. Perhaps the most definite point is that for the "normal" person illness, the more so the greater its severity, constitutes a frustration of expectancies of his normal life pattern. He is cut off from his normal spheres of activity, and many of his normal enjoyments. He is often humiliated by his incapacity to function normally. His social relationships are disrupted to a greater or a less degree. He may have to bear discomfort or pain which is hard to bear, and he may have to face serious alterations of his prospects for the future, in the extreme but by no means uncommon case the termination of his life.

evitably influences the emotional states of their patients, and often tion to it in any technical sense or not, what physicians do inhelp than is necessary or feasible, especially for incessant personal attention. In any case this factor reinforces the others. It makes it this may have a most important influence on the state of their cases. his situation and what is needed. Whether they pay explicit attendoubly difficult for the patient to have an objective judgment about exaggerted self-pity and whining, a complaining demand for more chances of their falling ill, especially seriously ill (the minority of hypochondriacs is the obverse), and if they do they tend to overdifficult. One very possible reaction is to attempt to deny illness or various aspects of it, to refuse to "give in" to it. Another may be even the necessary degree of emotional acceptance of the reality is estimate the chances of a quick and complete recovery. Therefore will be all right," that is they are motivated to underestimate the an unrealistic bias in the direction of confidence that "everything "shock" at the beginning of illness, and anxiety about the future. In two types of reaction should be prominent, a kind of emotional both cases there is reason to believe that most normal persons have desirable, they are frustrations. Therefore it is to be expected that For the normal person the direction of these alterations is un-

known to him in advance, and on the part of the survivors. This is relation of the medical situation to death. As was noted in Chapter behavior in the presence of imminent death or its recent occurrence. Moreover the "death complex" is never purely instrumental in its portant situations in all societies, demanding complex emotional adputterning. It is a central focusing point for expressive symbolism. and social structuring of ideas about death, attitudes toward it, or so important that in no society is there an absence of both cultural VIII death, and particularly premature death, is one of the most im-

emphasis on mourning. Our tendency is to "get on with living" as nearly in the usual pattern as possible. In the light of psychological knowledge and the evidence from comparative cultures it seems ance of too much concern with its prospect or its implications, and highly likely that this attitude is maintained only by virtue of strong disciplines which repress preoccupation with and anxiety about death. It may also mean that "grief reactions" are more frequently when it must be faced, "getting it over with" as rapidly as possible. For example, we have relatively slight and probably decreasing bias," one aspect of which is the "playing down" of death, the avoid repressed than in other societies. American culture in general seems to have a strong "optimistic

and in certain ways the police, being the other principal ones. The millitary in our society are a special, though sociologically extremely course of their occupational roles, the clergyman, the undertaker, striking that the medical is one of the few occupational groups which in our society have regular, expected contact with death in the ation in relation to their anxieties about the possibility of death; if and he is the first one to whom people look for structuring the situclosely into contact with death. He is often present at a death bed, an opportunity to focus. Moreover, the physician is brought very a point at which more or less free-floating anxieties about death have In a society normally at peace, death in most cases is preceded by illness, which links it very closely with the sick role. This is hence the clergyman comes in it is usually later than the physician. It is ation, not part of the normal life of the society. interesting case, because for us war is an exceptional "crisis" situ-

It is to be presumed that this association with death is a very

affective significance. It is in a sense the initiatory rite of the physician-to-be into his intimate association with death and the dead cluded that dissection is not only an instrumental means to the violent emotional reactions to the experience. It may hence be constage of formal medical training, and that it tends to be made both learning of anatomy, but is a symbolic act, highly charged with the medical school authorities, and medical students often have quite something of a solemn ritual, especially the first day, on the part of to note that the dissection of a cadaver is included in the very first tions with the realm of the sacred. In this connection it is interesting to considerable qualifications, he at least has very important associaformerly occupied by the clergy, an assertion often made, but subject If he is not in general tending in our society to take the place important factor in the emotional toning of the role of the physician.

when they are required by the law of the state where there is sus-Even today some religious bodies strongly oppose autopsies except ing-at one time they secretly raided cemeteries for the purpose. secure the right to dissect cadavers as a regular part of medical traincal profession had to wage a long and sometimes bitter struggle to Indeed, this is confirmed by the fact that historically the medi-

picion of foul play.

exploitation is at least minimized. fact a very real problem of how, in such a situation, the very possible existence of this sentiment or that of other mechanisms for the prevention of exploitation must not be taken for granted. There is in exploitation of the helpless sick is "unthinkable." That happens to technical competence, and emotional disturbance make him a cal practice. One is that the combination of helplessness, lack of sick person for the problem of the institutional structuring of medibe a very strong sentiment in our society, but for the sociologist the peculiarly vulnerable object for exploitation. It may be said that the portant broad consequences of the features of the situation of the To come back to the main theme. There are two particularly im-

our capitalistic society, in which exploitation is a major keynote, tends to spare the physician. The American Medical Association tends to be attacked, but in general not the ideal-typical physician. This is significant of the general public reputation for collectivity-orientation of the medical profession. * CF. Shryock, Richard Harrison, The Development of Modern Medicine. The is interesting to note that even leftist propaganda against the evils of

a whole series of irr- and non-rational beliefs and practices. The peculiarly difficult. He is therefore open to, and peculiarly liable to, the patient is such as to make a high level of rationality of judgment of regular medical practice is a rough measure of this factor. This set elements, but the volume of such phenomena outside the framework society there is, even today, a very large volume of "superstition" and rally" adopt. of facts then makes problematical the degree to which the treatis not to say that the medical profession either has a monopoly of other non- or irrational beliefs and practices in the health field. This is, as we have noted, the exception rather than the rule, and in our 'reusonable men," i.e., the normal citizen of our society will "natupossible. It can by no means be taken for granted as the course which ment of health problems by applied science has in fact come to be rational knowledge and techniques, or is free of the other type of world over the rational approach to health through applied science The other general point is the related one that the situation of

gets the best possible care. It is, indeed, very common if not usual very strong pressure on the healthy members to see that the sick one also just as strong because the solidarity of the family imposes a by family members and sometimes friends who are not sick. Does is done to him. But short of this, the patient tends to be buttressed a coma, the patient himself has nothing whatever to say about what emotional problems from the patient's own to be sure, but neverthemission of being sick enough to go to bed or call a doctor, when the in medical matters in one case than the other. The need of help is first place laymen, sick or well, are no more technically competent nitely not. It may mitigate the severity of the impact of some of the person himself. But in some cases, e.g., when he is an infant or is in difficult for the physician to cope with. It is not, for instance, for that the illness of one of its members creates somewhat different emotional relationships within the family are of such a character patient himself would tend to stand out longer. Furthermore the for the pressure of family members to tip the balance in the adfeatures of the patient's situation, in fact, it often does. But in the this not vitiate the whole argument of the above discussion? Defiless often very severe ones, and sometimes more severe, or more The above discussion has been concerned primarily with the sick

nothing that pediatricians habitually mean the mother, not the sick child, when they say "my patient." To anyone schooled in modern psychology the emotional significance of a child's illness for the mother in our society scarcely needs further comment. Hence we may conclude that the basic problems of the role of the patient himpely scian comes into contact in his personal circle with whom the physician comes into contact in his practice. Sometimes the role of these others is to facilitate the work of the physician very significantly. But it would be rash to assert that this was true very much more often than the reverse. In any case it is quite clear that the role of family members does not invalidate the significance of the situation of the patient for the character of medical practice, as outlined above.

B. The Situation of the Physician

The role of the physician centers on his responsibility for the welfare of the patient in the sense of facilitating his recovery from illness to the best of the physician's ability. In meeting this responsibility he is expected to acquire and use high technical competence in "medical science" and the techniques based upon it. The first question to ask about his situation, therefore, concerns the relation of these technical tools to the tasks he is called upon to perform and the responsibilities he is expected to live up to.

In a certain proportion of cases the doctor has what may be called a perfectly straightforward technological job. His knowledge and skill give him quite adequate tools for accomplishment of his ends, it is only necessary to exercise sufficient patience, and to work steadily and competently at the task. This would, it is true, leave the "penumbra" of emotional reactions of patients and their families for him to deal with, and his own emotional reactions to such things as severe suffering and imminence of death might well pose certain problems of emotional adjustment to him. But with these qualifications it would be much like any other high level technical job.

But in common with some and not other technical jobs there is in this case a shading off into cases with respect to which knowledge, skill and resources are not adequate, with hard, competent work, to solve the problem. There are two main aspects to this inadequacy. On the one hand there are cases, a good many of them, where the

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upshot of a competent diagnosis is to expose a condition which is known, in the given state of medical knowledge and technique, to be essentially uncontrollable. This is true both in the individual case and generally. Though there is a fundamental relationship between knowledge and control, this is a general and not a point-for-point relationship. Optimistic biases are very general and fundamental in human social orientations, perhaps particularly in our society and certainly in relation to health. It is, therefore, very common that the initial effect of a given advance in knowledge is to demonstrate the impossibility of controlling things which were thought to be readily controllable, to expose unfavorable factors in the situation which were not previously appreciated, and to show the fruitlessness of control measures in which people had previously had faith.

This has been the case with many advances of medical science. For example, about in the 1870's many people, both in the medical profession and outside it, had a strong faith in the efficacy of various drugs in the treatment of pneumonia. Sir William Osler, one of the most eminent physicians of his day, undertook against strong opposition in the profession to show that this faith was not well founded. He claimed, and his claim has been scientifically validated, that there was not a single case of the use of drugs in this connection which was—apart from psychological considerations, we would now add—not either useless or positively harmful. It must of course be remembered that serum treatment, sulfa drugs and penicillin had not been discovered at that time. Hence the net effect of Osler's "campaign" was to reduce what had been thought to be the area of rational control of disease, yet it represented definite scientific advance.

The same can be true in the individual case. The patient and his family may know only that he has abdominal discomfort, has been losing weight and lacks energy. Diagnostic procedure reveals an advanced, inoperable cancer of the stomach with a hopeless prognosis. "More" is definitely known than before, but hope has been clustroyed. The remarkable advances of medicine in the past two generations have significantly narrowed the range of cases of this sort, But they are very far from having eliminated them, and it

"Cf. Harvey Cushing, The Life of Sir William Osler.

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seems quite definite that there is no early prospect of their elimination.

These inherent frustrations of the technical expert acquire special significance because of the magnitude and character of the interests at stake. The patient and his family have the deepest emotional involvements in what the physician can and cannot do, and in the way his diagnosis and prognosis will define the situation for them. He himself, carrying as he does responsibility for the outcome, cannot help but be exposed to important emotional strains by these facts.

The absolute limits of the physician's control—which of course are relative to the state of medical science at the time and his own assimilation of it—are not the only source of frustration and strain. Within these limits there is a very important area of uncertainty. As in so many practical situations, some of the factors bearing on this one may be well understood, but others are not. The exact relation of the known to the unknown elements cannot be determined; the unknown may operate at any time to invalidate expectations built up on analysis of the known. Sometimes it may be known that certain factors operate significantly, but it is unpredictable whether, when and how they will operate in the particular case. Sometimes virtually nothing is known of these factors, only that the best laid plans mysteriously go wrong. In general the line between the spontaneous forces tending to recovery—what used to be called the vising is impossible to draw with precision in a very large proportion of cases.

The great importance of the uncertainty element is evident even if attention is confined to the physiological-biochemical levels of analysis of medical problems. In the first great era of modern scientific medicine explicit attention was almost in principle confined to this level. In the light of subsequently acquired knowledge of the psychic factor in disease, a very substantial proportion of the uncertainty factor when attention was thus narrowed must have consisted in the impingement of psychological elements on the disease process, which at that stage were not understood at all. Taking explicit account of these, to the extent that this has so far become possible, helps to reduce the range, but again by no means eliminates it. One

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The primary definition of the physician's responsibility is to "do everything possible" to forward the complete, early and painless recovery of his patients. The general effect of the existence of large factors of known impossibility and of uncertainty in the situation with which he has to cope is to impose strain upon him, to make it more difficult for him to have a "purely rational" orientation to his job than if his orientation were such as to guarantee success with account of reciprocal interactions with his patients and their intimates.

and immediately embodied, besides in the physician's own attitude likely to contain elements of emotional disturbance which are by definition, tendencies to deviant behavior. Hence the elements of siderations analyzed above, peculiarly intensive, immediate, and pared to most such groups their involvement is, because of the consystem, in the attitudes of precisely this group of people. But comstrain on the physician by virtue of these impossibility and uncerthe discipline of his scientific training and competence, it would be sick people to their situations, and those of their families. In spite of irrational mechanisms were noted as prominent in the reactions of tainty components of his situation are particularly great. Non- and expect to find, not merely institutionalization of the roles, but spestrange if, in view of the situation, physicians as a group were alto-gether exempted from corresponding tendencies. In fact that magic cial mechanisms of social control in operation. actual medical practice will be taken up briefly. However, it is clear psychic factors in the disease process itself, the strains existing on from the above that quite apart from the operation of so-called latur section the problem of the functional equivalents of magic in frequently appears in situations of uncertainty is suggestive. In a hoth sides of doctor-patient relationship are such that we must But the function of "doing everything possible" is institutional-

Factors of impossibility, and uncertainty in situations where

there is a strong emotional interest in success, are common in many other fields of applied science—the military field is an outstandingly important example. There are, however, certain other features of the situation of the physician which are not common to many other fields which share those so far discussed. The engineer, for example, deals primarily with non-human impersonal materials which do not have "emotional" reactions to what he does with them. But the physician deals with human beings, and does so in situations which often involve "intimacies," that is, in contexts which are strongly charged with emotional and expressively symbolic significance, and which are often considered peculiarly "private" to the individual himself, or to especially intimate relations with others.

of the discussion in the last chapter, that both the parts of the body symbols of highly strategic significance. themselves, and acts of exposure and of bodily contact are expressive tainly an expression of important sentiments. It is clear, in the light but it would certainly expose him to ridicule, and this also is certrousers, as it might be for a woman without either skirt or slacks, stance, be "shameful" for a man to appear in public without his ments regulating physical contact between men, and between women as well. Furthermore, as to exposure, it may not, for inone case in a wider category, though it is a peculiarly dramatic one of these considerations. The case of exposure and contact when the In our society there is no doubt that there are also very strong senti patient is of opposite sex is, it should be clearly kept in mind, only regulated in all societies, and very much so in ours. To see a person and occasions of bodily exposure and of bodily contact are carefully vary greatly according to the society and culture. But the amounts undoubtedly go very deep psychologically, certain of the sentiments relative to what Pareto called the "integrity of the individual" are late their body, is a "privilege" which calls for explanation in view naked in a context where this is not usual, and to touch and manipufocused on the "inviolability" of the body. Their structuring will One whole class of these concerns the body. For reasons which

It is essential for the physician to have access to the body of his patient in order to perform his function. Indeed, some of his contacts, as in the case of a rectal or a vaginal examination, would not

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be permitted to any other person by most normal individuals, even to a sexual partner. Various others would be permitted only to special

Along with all this goes the problem of sentiments toward "injury" of the body. Certainly many complex anxieties center about this in many respects. It is, for example, noteworthy how many people have really severe anxieties about the insertion of a hypodermic needle even when this has become such a commonplace in our society. Obviously the problem of securing consent to surgical procedures and many types of diagnostic procedures—such as the use of a gustroscope or a bronchoscope—is not to be too easily taken for grunted. The essential point in all this is that these are no simple matters of weighing a rationally understood "need" against an equally rationally assessed "cost" in the form of discomfort or inconvenience, but very complex non- and irrational reactions are inevitably involved with the typical, not only the "abnormal" patient. The fact that these elements are organized and controlled does not make them unproblematical. On the contrary, in the light of the potentialities of disturbance, the fact of successful control presents peculiarly important sociological problems.

confidential information about his patient's private life. For reasons among which their place in the system of expressive symbolism is prominent, many facts which are relevant to people's problems of health fall into the realm of the private or confidential about which people are unwilling to talk to the ordinary friend or acquaintance. Some of these concern only "reticences" about himself which are not specially bound up with intimate relations to others. A man will often, for example, hesitate to tell even his wife—even if he is on excellent terms with her—about many things which might well be of symptomatic significance to a physician. Others concern the privacies of intimate personal relationships, not only, but perhaps is often essential to the performance of the physician's function. His access to it presents the same order of problems as does access to

Modern developments in psychology, particularly psychoanalysis, have made us aware that in addition to resistances to access

> apy. The generally accepted name for this phenomenon in psychiatric circles is "transference," the attribution to the physician of health problem and hence the relation to the physician. their duration and the greater the emotional importance of the always a factor in doctor-patient relationships, the more so the longer class of these involves the attributes of parental roles as experienced of the patient. For understandable reasons a particularly important by the patient in childhood. Transference is most conspicuous in realistic situation, but which derive from the psychological needs significances to the patient which are not "appropriate" in the may become of the first importance in connection with psychotherdirectly relevant to his specifically technical functions, though they ous types of projective significance as a person which may not be which are mostly unconscious the physician tends to acquire varisort of situational adjustment problem. That is, through processes to the body, and to confidential information, anyone taking a role like that of the physician toward his patients is exposed to another psychiatric" cases, but there is every reason to believe that it is

If all these factors be taken together it becomes clear that, in ways which are not true of most other professional functions, the situation of medical practice is such as inevitably to "involve" the physician in the psychologically significant "private" affairs of his patients. Some of these may not otherwise be accessible to others in any ordinary situation, others only in the context of specifically intimate and personal relationships. What the relation of the physician's role to these other relationships is to be, is one of the principal functional problems which underly the structuring of his professional role.

If the features of the situation of the patient, the sick person, his intimates, and the physician, which have been reviewed, are taken together, they seem to present a very considerable set of complications of the functioning of medical practice on the level of human adjustment. These complications are not ordinarily taken account of in the simple common-sense view of the obviousness of the expectation that knowledge of how to cope with situations which are distressing to human beings will be applied to the limit of the availability of trained personnel and other necessary resources. They present another order of functional problems to the social system.

medicine which would exist if these mechanisms did not operate and overcoming the obstacles to the effective practice of scientific developed which can be understood as "ways" of meeting the strains fidently be expected that a whole series of specific mechanisms has The severity of these functional problems is such that it can con-We must now turn to the analysis of a variety of these mechanisms

THE FUNCTIONAL SIGNIFICANCE OF THE INSTITUTIONAL PATTERN OF MEDICAL PRACTICE

pattern variables and the particular combination of their values which characterizes the "professional" pattern in our society, neutrality and collectivity-orientation, in that order. namely, achievement, universalism, functional specificity, affective THE analysis of this problem may be centered about the

achievement and not a particularistic-ascribed structuring of the other things. The tendency would be toward nepotism, the hereditary principle, etc. It is furthermore of the first importance drastically alter the bases of selection for the personnel of the proout the possibility of selection by performance criteria. This would dated knowledge tends to show that the high levels of technical competent, trained personnel. A whole range of sociologically valiganized about the application of scientific knowledge by technically physician's role lies in the fact that modern medical practice is orfession, the focusing of their ambitions and loyalties and many laristic terms or which was ascribed to incumbents of a status within a relationship system which was structured primarily in particutraining and competence which this requires would not be possible The most fundamental basis for the necessity of a universalistic-

quite the sense or degree that modern medicine is. Certainly no society is known with the high general level of institutionalization of very high technical comempire was a professional soldier in our sense. All were aristocrats to whom military activity was ascribed, and who held military command as part of a largely ⁹ This is not to say that relatively high levels of technical competence cannot ever be attained or maintained in a context of particularistically ascribed role patterns. A notable example is that none of the Roman Generals who won her ascribed political career. But even Roman conquest was not applied science in particularistic-ascribed patterns. perences of the applied science type in which they were usually structured in

The Functional Significance of the Pattern

with the general world of science in the universities, and its appliof the occupational world in modern Western society, particularly that only this patterning is congruent with the structuring of the rest cation in other professional roles.

nism and conflict. solidary group, tend to do so at the cost of deepening the separations ticularistic structuring is to develop solidarities which, through contributing to the integration of the social situation within the between such groups, even generating, or contributing to, antago-This last is a particularly important point. The tendency of par-

protection of its "purity" against gratuitous interference by "outwould come to be ideologically glorified in the profession as a proper hard to see how the Pasteur case could fail to become the rule, which were the predominant institutional focus of the physician's role it is etc., inherently cut across the intrinsic structure of science. If they source. But particularistic bases of status-ascription, of solidarity, repudiated by the medical profession in considerable part because he was not a physician but "only" a chemist-how could anything recognize the "intrinsic" merits of a contribution regardless of its medically important come from anyone who was not a member of the "fraternity"? This repudiation of Pasteur is rightly regarded by modern physicians as a very unfortunate aberration, a refusal to even more than has actually been the case. Pasteur was initially tionalize the distinction between the medical and the non-medical chology and, we can now see, sociology, though the latter is little recognized as yet. A particularistic structuring of the medical proretically integrated discipline, but of a field of application. Many different sciences find applications in the medical or health field, fession would almost certainly operate to emphasize and institusomewhat equivocal one, it is not the designation of a single theoof science to practical affairs. The term "medical science" tific disciplines cuts across the structure of the fields of application physics, chemistry, the whole gamut of biological sciences, psy-A basic fact about science is that the structure of "pure" scienis thus a

The universalism of the medical role has, however, also another type of functional significance. In the light of the considerations brought forward in the last section it is clear that there is strong

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pressure to assimilate the physician to the nexus of personal relationships in which the patient is placed, quite apart from the specific technical content of the job he is called upon to perform. In so far as his role can be defined in unequivocally universalistic terms, this serves as a protection against such assimilation, because personal friendships, love relationships and family relationships are overwhelmingly particularistic. However, this aspect of the functional significance of universalism is closely bound up with that of functional specificity and affective neutrality. Its significance will be more advantageously discussed when the bearing of these two pattern elements has been made clear.

been noted, linked to functional specificity. A generalized "wisdom" which is genuinely universalistic but not specialized for any particular context is conceivable, but it is certainly not the basis of the competence of the physician who is a specialized expert in a specifically defined, if broad and complicated field. But the definition of the physician's role in this respect is not relevant only with relation to the specificity of his competence, but also of his legitimate scope of concern. Specificity of competence has primarily the function of delimiting a field so that it is relatively manageable, so that competence will not be destroyed by "spreading too thin." Specificity of fining the relationship to patients so that it can be regulated in certain ways and certain potential alternatives of definition, which might be disruptive, can be excluded or adequately controlled.

In terms of the features of the situation discussed above, functional specificity is an important element in overcoming potential resistances to the physician, in that through it the limits of his legitimate claims on the patient are defined, and thereby anxieties about the consequences of the special privileges accorded to him are allayed. The role conforms strictly to the criterion of the burden of proof being on the side of exclusion. If the patient asks why he should answer a question his doctor puts to him, or why he should submit to a given procedure, the answer is in terms of the relevance of his health problem—"if you want to get well, you have to give me the information I need to do my job," etc. If it cannot be justified by the relevance to the health problem it is "none of the doctor's business."

The obverse of permissions on the basis of positive relevance to the health problem is some sort of assurance that information or other privileges will not be used for other purposes, or that access to the body will not be used to exploit the patient, or to distort the relation in another direction, e.g., in the direction of mutual sexual attraction.

One of the most prominent mechanisms by which this is brought about is the segregation of the context of professional practice from other contexts. The doctrine of privileged communications is one of the best examples. That what the doctor learns about his patient's private affairs in the course of his duties is confidential and not to be divulged is not only one of the strongest tenets of professional ethics, but is protected by law against the claim to testify in court. Another significant example is the rule that physicians do not care for members of their own families except in essentially trivial illnesses. Not they might their emotional involvements distort their judgment, but for them not to know.

Even where there is both a professional and a non-professional aspect of the relationship of the physician to the same persons, there is a definite tendency to segregate the two aspects. For example one physician expressed a strong dislike of being asked for professional advice on social occasions, e.g., the lady sitting next to him at dinner asking what she should do about some illness of her child. His usual response was to ask her to come to his office and discuss it. It might be argued that his interest was in the fee, but the same thing is to be observed where no fee is involved.

One of the most conspicuous cases of the operation of segregation is where a potential sexual element enters in. For example a general practitioner whose office was in his home, and who had no office nurse or dressing room, reported that he habitually stepped out of the office to allow a female patient to get ready for a physical examination. When, as occasionally happened, the patient started to disrobe before he had time to get out of the room, he found it definitely embarrassing, though the same patient disrobed on the examining table did not embarrass him at all. The essential point is that for most men "woman in the same room undressing" usually means potential sexual relations, for the physician "woman on the examining table" means a professional job to do. Naturally, ensuring

the right behavior in each context requires a learning process and system of control mechanisms.10

These examples show that segregation operates not only to maintain functional specificity, but also affective neutrality by defining situations which might potentially arouse various emotional reactions as "professional" and thereby mobilizing a system of sanctions against "inappropriate" reactions. The importance of functional specificity is to define, in situations where potential illegitimate involvements might develop, the limits of the "privileges" in the "dangerous" area which the physician may claim. The pattern of affective neutrality then defines his expected attitudes within those limits.

The case of situations which might easily arouse sexual attractions is a particularly vivid one in our society. It should be noted that breakdown of the controls insuring affective neutrality in that connection is important not only to the doctor and the patient, but would often also involve the interests of a variety of third parties, since each tends to be involved in erotic relations with others whose interests would in turn be affected. In other words the toleration by a husband of his wife privately seeing a doctor and the lack of jealousy of their husband's female patients on the part of the doctors' wives are important conditions of medical practice. Occasionally disturbances in this area do occur, but their relative infrequency and the quickness with which they are stigmatized as "pathological" is indicative of the effectiveness of the control system.11

that in the early stages of medical education sexual arousal to some degree is not uncommon, but that the relevant occasions soon become "part of the day's work." Also by no means the only problem of control is the "protection" of the woman putient from the physician's "taking advantage" of her. Quite frequently it is the other way around, including the possibility of his susceptibility being used for blackmail. One of the prominent hospitals justified the policy of having a nurse present on such occasions by saying "it is at least as much for the protection of the doctor as of the patient." This nurse is graphically referred to as a "nurse-chaperone."

would not allow his wife to go to a male obstetrician. The physician reporting it assumed this attitude to be pathological. But it is pertinent to note that it was not very long ago when attendance at childbirth by a male physician was not tolerated in most of Western society.

There is a good deal of folklore current in such places as the pulp magazine literature and burlesque stage humor about the special opportunities of the

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This problem of emotional involvements is not, however, conother level. An eminent surgeon, for instance, was acutely aware of the emotional reaction provoked in himself by seeing a patient gerous operation—one case was a nine-year-old boy. He said he second time on such a case: He was afraid he would lean over volved, even in a case where he also knew the operation would not let their personal dislikes of particular patients be expressed in a poorer level of treatment or even-positive "punishadisilke to some of their patients."

This problem of emotional involvements is not, however, conother the surgeon, for instance, was acutely aware of the patient of the had to decide to operate a backwards to spare the patient the suffering he knew would lean over volved, even in a case where he also knew the operation would be inably be best for the patient in the long run. It is also important that be expressed in a poorer level of treatment or even-positive "punishadislike to some of their patients."

The argument of the last few pages may be summed up in the proposition that one principal set of functional significances of the combination universalism, functional specificity, and affective neutrality, is to enable the physician to "penetrate" sufficiently into the private affairs, or the "particular nexus" of his patients to perform this function. By defining his role in this way it is possible to overto the possibility of doing the job at all. 12

This importance is not, however, confined to the overcoming of are "for the protection of the physician" in a broader sense than in The obverse functional danger to that of refusal to admit to the ough, that the role of the doctor should be assimilated to that of really should become a personal intimate, a lover, a parent, or a personal or parent, or a personal intimate, a lover, a parent, or a per-

doctor for sexual gratification. It might be that "where there is smoke there is wish-fulfillment projected on the physician's role, rather than a shrewd guess as to what actually happens.

faces similar problems in his relations to people he wishes to interview or observe. The cognate features of his role have the same order of functional significance.

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of the opposite combination of the values of the pattern-variables somal enemy. All these roles are, it will be noted, defined in terms being discussed from that which characterizes the professional

doctors, none of whom incidentally was a psychiatrist or psychiatrically trained. It is, however, difficult to judge how far this is a factors but in general it can be said that there was a marked tendency assimilate their roles to patterns of this type, particularly that of a "personal friend" of the patient. There are various complicating other factors of which the respondent was not explicitly aware rational appraisal of the situation and how far a rationalization of in terms of the difficulty of maintaining "objectivity" and "good judgment" in relation to the job. There is every reason to believe sirable to allow the assimilation to take place, the usual answer ran for the physician to feel uncomfortable. Asked why it was undethat there was an element of correct insight in the testimony of these physicians had been put in positions where there was a "pull" to A good many instances were collected by the author in which

spicuous in psychiatric cases, as noted, there can be no doubt that it is also of the greatest importance throughout the field of doctorappropriate to his own need-dispositions. Though this is most conassimilate his physician to a pattern of particularistic personal relapatient relationships, tionship to himself. He attempts to elicit the reaction which is has certain resistances, but he actively attempts by projection to the patient, usually without knowing what he is doing, not only of this whole situation. Through the mechanisms of transference lations relative to it, calls attention to another most important aspect crease of our knowledge of the psychological aspects of human re-The enormous recent development of psychotherapy, and in-

ing into reciprocities on the emotional level, serve to bring about it on him, and affective neutrality which permits him to avoid enterconfine the relationship to a certain content field, indeed enjoins the kind of relationship the patient, usually unconsciously, wants. Above all this functional specificity which permits the physician to against this emotional pressure, because for a variety of reasons inherent in his own situation it is not possible for him to "enter in" to In the first place it is necessary for the physician to be protected

> has institutional backing in his refusals of reciprocity, 18 this protection. The upshot is that he refuses to be "drawn in" and

patient to new levels of insight as part of the process of emotional most important occasions for interpretations which can bring the actions and the realistic role of the physician provides one of the reactions.16 Finally, the discrepancy between the transference rereadjustment. tries to involve him in his personal affairs outside the health field and he keeps out of his sight so as to avoid opportunities for reciprocal he refuses to see his patient except at the stated hours in his office, patient projects upon him, he fails to reciprocate in the expected the physician's role provides. Whether it is love or hate which the ordinary social intercourse. This is precisely what the patterning of must be an "Archimedean place to stand" outside the reciprocities of terms. He remains objective and affectively neutral.14 The patient factory behavior. If these vicious circles are to be dealt with there recalcitrant reaction and to drive the individual farther from satissocial pressures which ordinarily serve to keep people "in line" and down. One of the most important features of neurotic behavior in bring them back if they start to deviate, serve only to intensify the this sense is of course the involvement in vicious circles, so that the as we have seen, becomes necessary when the control mechanisms inherent in the reciprocities of ordinary human relationships break important conditions of successful psychotherapy. Psychotherapy, important as protection of the physician himself, are also crucially That is, the same features of the physician's role, which are so chotherapy reveals another important dimension of the situation, *But, in addition to this, our knowledge of the processes of psy-

knowledge of the mechanisms of neurotic behavior to the manipula-An essential part of what the psychiatrist does is to apply direct

18 The fact that his role is collectively-oriented, on the other hand, tends to draw him in and has to be counteracted by these other factors.

16 "Countertransference" of course occurs, but the therapist is expected to

minimize and control it, not just "let himself go."

discussion is not meant to take a position on such questions as to whether it might or might not under certain circumstances be better to get the patient off the couch into a face-to-face position. It is meant only to call attention to certain general features of the psychotherapeutic situation. technique are controversial within the relevant professional coups. The present 18 Many specific points in the details of psychotherapeutic and psychoanalytic

turing of the physician's role in our society did not come about of the therapeutic process. But it is quite clear that the basic strucaware of the importance of the structuring of their own roles as part through the application of theories of the ideal situation for psychotion of his patient. Increasingly, however, psychiatrists are becoming structure which psychiatry has been able to utilize and develop, but therapy. It was a spontaneous, unplanned development of social which originated independently of its influence.

structure of the physician's role has the kind of functional signifigreater than the usual explicit interpretations allow for. If the tween them in function must be, and historically has been, much a fraction of the total of medical practice. But the continuity bemuch more recent than organic medicine, and today constitutes only must have some effect on the mental state of the patient whether it is cance for deliberate psychotherapy which has been outlined here, it used for deliberate psychotherapy or not. And there is every psychiatric organic physician is like theory to the militantly antievidence that it does. Psychotherapy to the militantly antigrammatical structure.16 But the general conclusion is that a very just as one can use a language well without even knowing it has a he knows it or wants to or not. He may indeed do it very effectively theoretical empirical scientist. In both cases he practices it whether important part of non- and prepsychiatric medical practice is in mately what it has here been shown to be.17 the institutional structure of the physician's role were not approxifact "unconscious psychotherapy" and that this could not be true if There is a most important implication of all this. Psychiatry is

10 This has sometimes been called the "art of medicine."

friendship is not comfined to a functionally specific context, nor is it affectively neutral. A friend does not have the "place to stand" outside certain reciprocities. The other is current among certain psychoanalysts, "the doctor is the father." It is true that the father role is perhaps the most immediately appropriate transference role to a male analyst, especially if there is a considerable age differential. afficultiely neutral "understanding." A father can also be called upon to help where a physician can legitimately refuse. It is precisely the differences from friendship and familial roles which are the most important levers for the psycho-"best friend." He is, in terms of willingness to help him. But a relationship of inadequate understanding of the situation. One is that the doctor is the patient's But when a son misbehaves a father reacts with anger and punishment, not therapeutic process. 17 Two formulae are more or less current among physicians which show an

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society. 18 of the businessman who, according to certain theorists, represented embarking on a study of the medical profession lay in the desire to with business. Indeed one of the author's principal motivations in upper reaches of our occupational system, especially in the contrast understand a high-level occupational role which deviated from that the one strategically crucial type of such role in modern "capitalistic" tion. It is this which is distinctive of professional roles within the This brings us to the last pattern element, collectivity-orienta-

a situation which includes the potential resistances which have been own funeral"-which it may be literally. All this of course is true of say to the patient who refuses to heed his advice is "well, it's your measures "on authority." But in the type case there is no system of coercive sanctions to back up this authority. All the physician can and specific judgments and measures cannot be competently judged by the layman. The latter must therefore take these judgments and riving at a rationally objective appraisal of his situation. In addition, the physician is a technically competent person whose competence to exploitation and at the same time peculiarly handicapped in ar-It was noted above that the sick person is peculiarly vulnerable

connection it is noteworthy how strongly the main reliance for conoriented to the rational pursuit of his own self-interests, and where have relatively elaborate disciplinary procedures, but these quite cludes severe penalties for "malpractice" and medical associations technical incompetence and his irrationality thus constitutes the tection of the patient against the exploitation of his helplessness, his it is surely clear that society would not tolerate the privileges which trol is placed on "informal" mechanisms. The law of the state inmost obvious functional significance of the pattern. In this whole have been vested in the medical profession on such terms. The prothere is an approach to the idea of "caveat emptor." In a broad sense business world, where each party to the situation is expected to be that it would be particularly difficult to implement the pattern of the These different factors seem to indicate that the situation is such

Chapter VIII, for a general analysis of the relations between business and the professions in our social structure. 38 See "the Professions and Social Structure," Essays in Sociological Theory,

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definitely are not the principal mechanisms which operate to ensure the control of self-orientation tendencies. The significance of this will be discussed below.

physician is protected by a series of symbolically significant practices which serve to differentiate him sharply from the businessman. He cannot advertise—he can only modestly announce by his "shingle" and the use of his M.D. in telephone directories and classified sections, that he is available to provide medical service. He cannot bargain over fees with his patients—a "take it or leave it" attitude is enjoined upon him. He cannot refuse patients on the ground that they are poor "credit risks." He is given the privilege of charging according to the "sliding scale," that is, in proportion to the income of the patient or his family—a drastic difference from the usual pricing mechanism of the business world. The general picture is one of sharp segregation from the market and price practices of the business world, in ways which for the most part cut off the physician from many immediate opportunities for financial gain which are treated as legitimately open to the businessman. The motivational significance of this difference will have to be discussed below.

It is also interesting to note, following up the earlier remarks about "shopping around," that the definition in terms of collectivity-orientation is expected to be reciprocal. The most usual formulation for this is that the patient is expected to "have confidence" in his physician, and if this confidence breaks down, to seek another

This may be interpreted to mean that the relationship is expected to be one of mutual "trust," of the belief that the physician is trying his best to help the patient and that conversely the patient is "cooperating" with him to the best of his ability. It is significant for instructe that this constitutes a reinforcement of one of the principal institutional features of the sick role, the expectation of a desire to get well. It makes the patient, in a special sense, responsible to his physician. But more generally, it has been pointed out before that collectivity-orientation is involved in all cases of institutionalized authority, that is authority is an attribute of a status in a collectivity. In a very special and informal sense the doctor-patient relationship

has to be one involving an element of authority—we often speak of "doctor's orders." This authority cannot be legitimized without reciprocal collectivity-orientation in the relationship. To the doctor's obligation to use his authority "responsibly" in the interest of the patient, corresponds the patient's obligation faithfully to accept the implications of the fact that he is "Dr. X's patient" and so long as he remains in that status must "do his part" in the common enterprise. He is free, of course, to terminate the relationship at any time. But the essential point is the sharp line which tends to be drawn between being X's patient, and no longer being in that position. In the ideal type of commercial relationship one is not A's customer to the exclusion of other sources of supply for the same needs.

of the situation confirmed by the institutional expectation that the collectivity-orientation is certainly one of the keystones of the insti psychotherapy in non-psychiatric medical practice, the element of importance of what has here been called the element of unconscious possible for him to avoid understanding. In view of the immense tween his neurotic expectations and reality which is as difficult as physician was "out to get everything he could for himself." In this because the patient would tend to see his own neurotic definition tionship. If the role of the physician were defined in self-orientation tends to be projected onto the physician in the transference relapairment of the capacity to trust others, essentially what, in Chapter turbances which do not have, as one important component, an imsafely be said that there is no important class of psychological distrust his physician. This is particularly important because it can peutic success is not possible unless the patient can be brought to jects, but so far as the author knows, none on this point-that thera-There are differences of opinion among psychiatrists on many subtivity-orientation and psychotherapy, conscious or unconscious tutional arch in this respect. ference tendencies of the patient, thus to set up a discrepancy be tionalized definition of the role is such as to counteract these trans as in other contexts it is of the first importance that the institu terms it could hardly fail to invite deepening of the vicious circle, VII, we called a sense of insecurity. This element of distrust then Finally, there is a most important relationship between collec-

§ SOME SPECIAL PROBLEMS

A FEW special problems may now be taken up which illustrate in still other contexts connected with medical practice the usefulness of the type of analysis which is here being employed. The ones which will each be briefly discussed here are, the part played by certain pseudo-scientific elements even within the profession itself, the predominance of informal internal controls and the resistance to outside and to formal control, and the problem of the comparative motivational patterns of the medical and business world.

We may go back to the discussion of the element of uncertainty which looms so large in medical practice. This element, and that of impossibility, the border lines between them often being indistinct, places serious strains on a well-integrated balance of need, skill, effort, and expectations of result. Within this situation there is a variety of motivational factors operating to drive action in one direction, namely, "success" of the therapeutic enterprise. The physician himself is trained and expected to act, not merely to be a passive observer of what goes on. The patient and his family are also under strong emotional pressures to "get something done." There is on both sides, in Pareto's terms, a "need to manifest sentiments by external acts."

One of the best types of examples of this situation is that where a decision to perform a surgical operation is in the balance, and where, from a technical point of view, there is a genuine uncertainty element involved. The surgeon must weigh the risks of operation against the risks of delaying operation or deciding not to operate at all. In general it is clear that there tends to be a bias in favor of operating. After all the surgeon is trained to operate, he feels active, useful, effective when he is operating. For the patient and his family, in their state of anxiety and tension also, inactivity, just

was one of the first to analyze correctly the nature of anomie in Suicide, was one of the first to analyze correctly the nature of the strains involved in upsetting a normal balance in the relation between effort, skill, and expectation of result. His analysis is further generalized by our treatment of the complementarity of expectations in interactive relationships and the motivational consequences of clistophances of this complementarity.

waiting to see how things develop is particularly hard to bear. A decision to operate will, in such a situation, almost certainly "clear the air" and make everybody "feel better." At least "something is being done. It is also probable that American culture predisposes more to this pattern of activity than most others, and that this has much to do with our tendency to glorify the surgeon, who is indeed a kind of eulture hero.

This problem of the bias in favor of active intervention, of giving the benefit of the doubt to operating in surgical cases, underlies the problem of "unnecessary operations" about which there has been a good deal of discussion in medical circles. It is true that, in the situation of individual fee-for-service practice, the surgeon has a direct financial incentive to be biased in favor of operating. In the folklore of the subject, however, whatever tendency to unnecessary operations there may be, tends too immediately to be ascribed to this financial incentive. It is forgotten that there are other powerful motives operating in the same direction. In such a situation it would take far more refined research methods than have yet been applied to the problem to discriminate the effects of the two factors. One may thus be warned against glib, easy interpretations of the "obvious" motivation of a pattern of action, where it can be shown that one motivational factor operates in the right direction.

It is suggested that the situation of surgical practice, where the uncertainty factor is almost inevitably great, predisposes to a bias in favor of active intervention. Since the motivation for this bias tends to be strongly shared by patients and their families, its existence is obscured since there is no conspicuous group whose conscious interests are injured by it to protest. But this particular version of the bias is by no means isolated. A second conspicuous phenomenon is the existence of a pattern of "fashion change," even within the medical profession as such, which, however, is far less conspicuous than the related health "faddism" current among the general public.²⁰

This phenomenon is easy to observe only in temporal perspec-

For an analysis of one such "fad," cf. L. J. Henderson, "Aphorisms on the Advertising of Alkalis," Harvard Business Review, Autumn, 1937, Vol. 16, pp. 17-23.

rive. A technical innovation in the medical field will for a time be slow in "catching on." When, however, it begins to be accepted, it will spread very rapidly and be utilized on almost every possible eccusion where an at all plausible case for it can be made. This continues until the point is reached where it becomes "oversold" and a reaction sets in. Its use will then fall off, probably to a level below its intrinsic merits, and after a series of narrowing fluctuations it will tend to settle down to a well-established place in the professional "repertoire."

awareness of its sociological implications, by two surgeons writing in a medical journal, discussing a new operative technique for the removal of the prostate gland. But the same tendency can be observed in many cases, e.g., "focal infection," the use of the sulfatrugs recently, psycho-somatic interpretations in many fields. The important point is that the "irrational" element in the belief in the efficiety of any one technique or diagnostic idea, which we see must be interpreted as a reaction to strain, is only temporary, but at any given time, there is always a group of such ideas current in the profession. By the time that rational criticism and experience have succeeded in "finding the proper level" for one, another has arisen to take its place.

The general phenomenon then is an "optimistic bias" in favor of the soundness of ideas or efficacy of procedures. Since the basic normative pattern by which such ideas are measured is that of science, there are strong pressures toward the elimination of the bias in any particular case. But as a general phenomenon it persists—it is a pseudo-scientific element in the technical competence of the medical profession which is more than simply an expression of the relative lack of scientific development of the field; it is positively motivated.

The question arises of whether it has positive functions, or as the "rationalistic" tendency of thought goes, is simply an "imperfection" to be eliminated. Comparative perspective is very helpful in answering this question. Malinowski among others has shown that magical beliefs and practices tend to cluster about situations where there is an important uncertainty factor and where there are strong

emotional interests in the success of action.²¹ Gardening and deep-sea fishing are examples he analyzes. It is suggestive that pseudo-science is the functional equivalent of magic in the modern medical field. The health situation is a classic one of the combination of uncertainty and strong emotional interests which produce a situation of strain and is very frequently a prominent focus of magic. But the fact that the basic cultural tradition of modern medicine is science precludes outright magic, which is explicitly non-scientific. The result is a "bias."

It may be safely inferred that there is an important element of positive functional significance in this. The basic function of magic, according to Malinowski, is to bolster the self-confidence of actors in situations where energy and skill do make a difference but where because of uncertainty factors, outcomes cannot be guaranteed. This fits the situation of the doctor, but in addition on the side of the patient it may be argued that belief in the possibility of recovery is an important factor in it. If from purely a technical point of view both the individual doctor and the general tradition are optimistically biased it ought to help, through a "ritual" demonstration of the will to recover and that there is a chance. Of course this argument must not be pressed too far. Too many conspicuous failures of optimism to be justified by events could have a shattering effect on just this confidence. The functional needs of society call for a delicate balance in this as in many other fields.

Modern medical practice is, as has so frequently been pointed out, overwhelmingly oriented to science. Science in turn attempts to make the state of its knowledge as clear and rationally explicit as possible. One would think that this type of pattern would run through the whole social complex of medical practice. There is a certain formal precision and clarity about the existence of a system of formal rules of behavior and formal mechanisms for their enforcement which seems to bear a certain relationship to scientific precision, so that on the basis of "cultural congruence" one might expect

²¹ See B. Malinowski, Magic, Science and Religion. Knoeber, Anthropology, 1948 Ed., pp. 604, questions the universality of this relationship, but not that it exists in many cases.

²² It may be suggested that reference to this context constitutes a significant, if not well understood undertone, in the physician's so frequent insistence that his patients should have "confidence" in him.

Broadly the facts do not bear out this expectation. A certain jealous guarding of their independence from outsiders might be expected from such a professional group, indeed they do tend to do so vis-a-vis the state and, ideologically at least, vis-a-vis any other potential source of "lay control." But perhaps the most conspicuous fact is that even their own professional associations do not play a really important part in the control of medical practice and its potential abuses through formal channels. It is true that medical associations do have committees on ethics and disciplinary procedures. But it is exceedingly rare for cases to be brought into that formal disciplinary procedure. Thus the well-known reluctance of physicians to testify against other physicians in cases of malpractice, in the courts, has its parallel in the reluctance of physicians to resort to the formal disciplinary procedures of their own associations, which do not involve "washing their dirty linen" before laymen.

It is suggested that behind this conspicuous tendency lie factors

which are common throughout the occupational world, but perhaps in certain respects especially prominent here. The general tendency is to fall considerably short of living up to the full "logical" implications of the dominant culture pattern in certain crucial respects. It is suggested that this derives from the fact that it is not possible to "apply" the dominant cultural pattern literally and without restriction and not generate strains which in turn would produce responses which would be more disruptive than certain "mitigation from the dominant pattern is what we have called an adaptive structure.

The physician is expected to act responsibly in a situation where the interests of others are very vitally affected, and in ways where

cation, by explicit sanction "ideologically" is necessary here. Almost all medical education, by explicit sanction of the organized profession, is now in the hands of Universities. Ultimate legal control of the university is usually in the hands of boards of trustees, not one of which is composed of a majority of medical men. Much the same is true of the government of hospitals. Yet many medical men, who never think of protesting against this situation, roundly assert that any change which will subject medical men to the authority of laymen in any respect is "in principle" intolerable.

Some Special Problems

it is not by any means always probable that the reaction of these others to things going wrong will be "reasonable." The resources he has available to do his job are by no means fully adequate. He inevitably makes mistakes, and his mistakes may on occasion have very serious consequences. Moreover, it may be peculiarly difficult to explain many situations where things go wrong to people not technically competent or familiar with the peculiar circumstances of medical work and whose emotions are wrought up. Even within a medical society formal procedures necessarily abstract from the subtleties of the particular situation.

of the medical society's own Committee on Ethics. Undoubtedly even though greater formalization would be more "logical," may in using risky though well-advised procedures, which he would not controls helps to give the physician confidence, and a certain daring control. But it is at least possible that the strong reliance on informal which "ought not to" and would not in a well-run formal system of a certain amount of abuse does "get by" in the present situation lawyer" whether he be a District Attorney or merely the "prosecutor" calities" of definition. It always opens the door for the "clever bad." Formalization inevitably gives a prominent role to "technigoing to throw the first stone? We are all vulnerable. We have all have its functional significance. As one physician put it, be so ready to do in a more thoroughly bureaucratized situation.24 been in situations where what we did could be made to look very It may therefore be suggested that reliance on informal controls, "Who is

Finally, a brief discussion may be devoted to the problem of the sociological interpretations of the motivation of the physician in his professional role which can supplement the discussion of the "profit

a willingness to have their work exposed to professional criticism which is rarely matched in other professions. The practice of surgery is, within the profession, essentially public, and has the further check of the pathological laboratory and the autopsy. But it is interesting that it is only professionally public, laymen are generally excluded from the operating room. The author's observations suggest one possible factor in this. The families of patients undergoing an operation are generally emotionally "wrought up" to a high degree. The atmosphere of the operating room, on the other hand, is in general a "work-a-day" atmosphere, with calm technical comment and discussion, and often a good deal of joking. Much of this could not fail to appear to the emotionally disturbed relative as frivolity or callousness—the doctors "don't care what happens to my wife."